

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Application Number		09802237
	Filing Date		2001-03-08
	First Named Inventor	Keith	
	Art Unit	3692	
	Examiner Name	C.B. Graham	
	Attorney Docket Number	125472	

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	4	6377940	B2	2002-04-23	Tilfors	
	5	6493681	B1	2002-12-10	Tertitski	
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	1	LOWENSTEIN, R., "Why Stock Options Can Be Dynamite for a Portfolio," Wall Street Journal (Europe), November 7, 1997, p. 13.	<input type="checkbox"/>

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2	McGUIRE, C., "FIXML Set for Launch," Wall Street & Technology 17(3):50, March 1999.	<input type="checkbox"/>
3	TSU, M.E., "Tutorial on Using Options in Active Strategies," Derivatives Quarterly 6(1):39-48, Fall 1999.	<input type="checkbox"/>
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